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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: 9/8/04 Name: Jeffrey M. Nichols, Reg. No. 46,958 Signature: Jeff Nichols

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ziegler et al.

Appln. No.: 10/676,867

Filed: September 30, 2003

For: Loudspeaker with a Front-Mounted Sealing Element and Method of Manufacturing the Same

Examiner:

Art Unit: 2644

Attorney Docket No: 11336/639

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ First Supplemental Information Disclosure Statement (in dup.); Form PTO-1449; copies of 13 cited references  
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

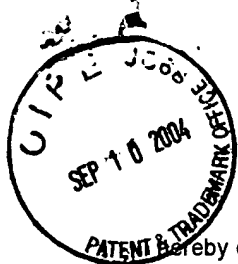
Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

September 8, 2004  
Date

Respectfully submitted,

Jeff Nichols  
Jeffrey M. Nichols (Reg. No. 46,958)



**CERTIFICATE OF MAILING**

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September 8, 2004  
Date of Deposit

Jeffry M. Nichols, Reg. No. 46,958

Name of Applicant, Assignee or  
Registered Representative

Jeff Nichols  
Signature

September 8, 2004  
Date of Signature

Our Case No.: 11336/639

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Ziegler et al.

Serial No.: 10/676,867

Filing Date: September 30, 2003

For: Loudspeaker with a Front-Mounted  
Sealing Element and Method of  
Manufacturing the Same

Examiner:

Group Art Unit No.: 2644

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the references listed below and on the accompanying Form PTO-1449 be considered by the Examiner and made of record. Since this application was filed after July 1, 2003,

all of the cited references with the exception of U.S. Patents and U.S. Patent application publications are enclosed for the convenience of the Examiner. English language abstracts for the non-English language references that were not translated are also enclosed.

The references now cited are the following:

<b>Reference No.</b>	<b>Date</b>	<b>Name or Country</b>	<b>Translation Provided (if reference is not in the English language)</b>
US2,567,829	09/11/1951	Suthann	
US3,746,125	07/17/1973	Hammes	
US3,993,345	11/23/1976	Croup	
US4,090,582	05/23/1978	Deschu	
US4,122,911	10/31/1978	Croup	
US4,161,995	07/24/1979	Pohlmann et al.	
US4,167,985	09/18/1979	Dunlavy	
US4,546,850	10/15/1985	Litner	
US4,650,031	03/17/1987	Yamamoto	
US4,790,407	12/13/1988	Yamamoto et al.	
US4,928,788	05/29/1990	Erickson	
US5,416,283	05/16/1995	Dault et al.	
US5,583,945	12/10/1996	Iijima et al.	
US6,015,025A	01/18/2000	McGrath et al.	
DE2738526B1	10/19/1978	Germany	
JP61105250AA	05/23/1986	Japan	
DE3606166A1	08/27/1987	Germany	
DE3706158A1	09/29/1988	Germany	
EP347730B1	12/27/1989	EPO	
JP03-014724	01/23/1991	Japan	YES
JP05-095594	04/16/1993	Japan	YES
DE29711895U1	10/16/1997	Germany	
JP09-315235	12/09/1997	Japan	YES
JP10-136486	05/22/1999	Japan	YES
EP1059829A2	12/13/2000	EPO	
DE19928812C2	12/28/2000	Germany	YES
DE10015751A1	01/04/2001	Germany	

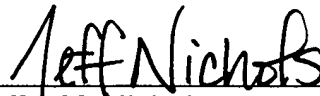
The references that are not in the English language were identified as a result of a prior art search. In accordance with 37 C.F.R. § 1.97(h), this First Supplemental Information Disclosure Statement is not to be construed as an admission that any of the

cited references are, or are considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this First Supplemental Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Jeff M. Nichols  
Registration No. 46,958  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



PTO-1449	SERIAL NO. 10/676,867	CASE NO. 11336/639
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE September 30, 2003	GROUP ART UNIT 2644
(use several sheets if necessary)		APPLICANT(S): Ziegler et al.

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	2,567,829	09/11/1951	Suthann		
	B2	3,746,125	07/17/1973	Hammes		
	B3	3,993,345	11/23/1976	Croup		
	B4	4,090,582	05/23/1978	Deschu		
	B5	4,122,911	10/31/1978	Croup		
	B6	4,161,995	07/24/1979	Pohlmann et al.		
	B7	4,167,985	09/18/1979	Dunlavy		
	B8	4,546,850	10/15/1985	Litner		
	B9	4,650,031	03/17/1987	Yamamoto		
	B10	4,790,407	12/13/1988	Yamamoto et al.		
	B11	4,928,788	05/29/1990	Erickson		
	B12	5,416,283	05/16/1995	Dault et al.		
	B13	5,583,945	12/10/1996	Iijima et al.		
	B14	6,015,025A	01/18/2000	McGrath et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B15	DE2738526B1	10/19/1978	Germany		
	B16	JP61105250AA	05/23/1986	Japan		
	B17	DE3606166A1	08/27/1987	Germany		
	B18	DE3706158A1	09/29/1988	Germany		
	B19	EP347730B1	12/27/1989	EPO		
	B20	JP03-014724	01/23/1991	Japan		Yes
	B21	JP05-095594	04/16/1993	Japan		Yes
	B22	DE29711895U1	10/16/1997	Germany		
	B23	JP09-315235	12/09/1997	Japan		Yes
	B24	JP10-136486	05/22/99	Japan		Yes
	B25	EP1059829A2	12/13/2000	EPO		
	B26	DE19928812C2	12/28/2000	Germany		Yes
	B27	DE10015751A1	01/04/2001	Germany		

EXAMINER INITIAL	<b>OTHER ART - NON PATENT LITERATURE DOCUMENTS</b> (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
	B28	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.